

Revision: HCFA-PM-88-10 (BERC)
SEPTEMBER 1988

OMB No.: 0938-0193

State/Territory: MARYLAND

Citation

4.14 Utilization Control

42 CFR 431.630
42 CFR 456.2
50 FR 15312

- (a) A Statewide program of surveillance and utilization control has been implemented that safeguards against unnecessary or inappropriate use of Medicaid services available under this plan and against excess payments, and that assesses the quality of services. The requirements of 42 CFR Part 456 are met:

☒ Directly.

1902(a)(30)(C)
and 1902(d) of the
Act, P.L. 99-509
(Section 9431)

☒ By undertaking medical and utilization review requirements through a contract with a Utilization and Quality Control Peer Review Organization (PRO) designated under 42 CFR Part 462. The contract with the PRO--

- (1) Meets the requirements of §434.6(a);
- (2) Includes a monitoring and evaluation plan to ensure satisfactory performance;
- (3) Identifies the services and providers subject to PRO review;
- (4) Ensures that PRO review activities are not inconsistent with the PRO review of Medicare services; and
- (5) Includes a description of the extent to which PRO determinations are considered conclusive for payment purposes.

☒ Quality review requirements described in section 1902(a)(30)(C) of the Act relating to services furnished by HMOs under contract are undertaken through contract with the PRO designated under 42 CFR Part 462.

1902(a)(30)(C)
and 1902(d) of the
Act, P.L. 99-509
(Section 9431)

☒ By undertaking quality review of services furnished under each contract with an HMO through a private accreditation body.

TN No. 89-13
Supersedes
TN No. 88-5

Approval Date

Effective Date

HCFA-PM-88-10

Revision: HCFA-PM-85-3 (BERC)
MAY 1985

State: Maryland

OMB NO. 0938-0193

Citation
42 CFR 456.2
50 FR 15312

4.14 (b) The Medicaid agency meets the requirements of 42 CFR Part 456, Subpart C, for control of the utilization of inpatient hospital services.

☒ Utilization and medical review are performed by a Utilization and Quality Control Peer Review Organization designated under 42 CFR Part 462 that has a contract with the agency to perform those reviews.

☐ Utilization review is performed in accordance with 42 CFR Part 456, Subpart H, that specifies the conditions of a waiver of the requirements of Subpart C for:

☐ All hospitals (other than mental hospitals).

☐ Those specified in the waiver.

☒ No waivers have been granted.

TN No. 86-2
Supersedes
TN No. 83-6

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Effective Date 7/1/85

HCFA ID: 0048P/0002P

47a

Utilization Control will be performed by the PRO for all Maryland Medicaid recipients in acute general hospitals in Maryland and its contiguous states, commonwealths and districts. The PRO will perform: preadmission certifications of all non-emergency admissions, retroactive review of all emergency admissions and admissions subsequently found eligible for Maryland Title XIX reimbursement, and certification of medical necessity and appropriateness of lengths of stay. Thus, in accordance with 42 CFR §456.2 and §431.630, Maryland's Single State Agency is deemed to have satisfied the requirements of utilization control as specified in 42 CFR Part 456, Subpart C. The State monitors and evaluates PRO performance by pre-payment review of all invoices and accompanying PRO certification documents for hospital inpatient services provided to Title XIX recipients.

The contract period extends from January 1, 1985 through June 30, 1987 and provides for renegotiation should new obligations impose additional burdens upon the PRO. Either the PRO or the Single State Agency may exercise contractual provisions to terminate the contract for cause after providing thirty days notice in writing or to terminate the contract without cause after providing sixty days notice in writing. The Single State Agency has reserved the right to terminate the contract (after thirty days notice in writing) in the event that Federal Financial Participation not be available in whole or in part for the execution of the contract.

The contract provides for the maintenance of records pertaining to determinations made under the contract in accordance with the laws of the State of Maryland and of the United States. These records are available to appropriate Federal and State personnel or their designees. Upon termination of the contract, copies of all records will be provided to the Single State Agency. The confidentiality of all records is protected as prescribed in 1902 (a) (7) of the Social Security Act as provided in the contract. The contract provides that no utilization control function can be subcontracted without the written consent of the Single State Agency.

The decision of the PRO is binding for payment purposes, except that adverse decisions may be appealed to the Single State Agency and, in accordance with State law, the individual also has the right to a fair hearing by the Department's Office of Hearings. C

TN 85-6

APR 23 1988

Supercedes TN 84-20 11/85

Revision: HCFA-PM-85-7 (BERG)
JULY 1985

OMB NO.: 0938-0193

State/Territory: _____

Citation
42 CFR 456.2
50 FR 15312

- 4.14 (c) The Medicaid agency meets the requirements of 42 CFR Part 456, Subpart D, for control of utilization of inpatient services in mental hospitals.

☐ Utilization and medical review are performed by a Utilization and Quality Control Peer Review Organization designated under 42 CFR Part 462 that has a contract with the agency to perform those reviews.

☐ Utilization review is performed in accordance with 42 CFR Part 456, Subpart H, that specifies the conditions of a waiver of the requirements of Subpart D for:

☐ All mental hospitals.

☐ Those specified in the waiver.

☒ No waivers have been granted.

☐ Not applicable. Inpatient services in mental hospitals are not provided under this plan.

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Supersedes
TM No. 86-2

Approval Date

11/13/85

Effective Date

10/01/85

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Revision: HCFA-PM-85-3 (BERC)
MAY 1985

State: Maryland

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Citation
42 CFR 456.2
50 FR 15312

- 4.14 (d) The Medicaid agency meets the requirements of 42 CFR Part 456, Subpart E, for the control of utilization of skilled nursing facility services.

☒ Utilization and medical review are performed by a Utilization and Quality Control Peer Review Organization designated under 42 CFR Part 462 that has a contract with the agency to perform those reviews.

☐ Utilization review is performed in accordance with 42 CFR Part 456, Subpart H, that specifies the conditions of a waiver of the requirements of Subpart E for:

☐ All skilled nursing facilities.

☐ Those specified in the waiver.

☒ No waivers have been granted.

TN No. ~~85-1~~
Supersedes
TN No. 85-6

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OMB NO. 0938-0193

Citation
42 CFR 456.2
50 FR 15312

4.14 ☒ (e) The Medicaid agency meets the requirements of 42 CFR Part 456, Subpart F, for control of the utilization of intermediate care facility services. Utilization review in facilities is provided through:

- ☐ Facility-based review.
- ☐ Direct review by personnel of the medical assistance unit of the State agency.
- ☐ Personnel under contract to the medical assistance unit of the State agency.
- ☒ Utilization and Quality Control Peer Review Organizations. |*|
- ☐ Another method as described in ATTACHMENT 4.14-A.
- ☐ Two or more of the above methods. ATTACHMENT 4.14-B describes the circumstances under which each method is used.
- ☐ Not applicable. Intermediate care facility services are not provided under this plan.

|*| This excludes ICF/MR Facilities which are subject to facility and Inspection of care based review.

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Citation
1902(a)(30)
and 1902(d) of
the Act,
P.L. 99-509
(Section 9431)

☐ 4.14 (f) The Medicaid agency meets the requirements of section 1902(a)(30) of the Act for control of the utilization of services furnished by each health maintenance organization under contract with the Medicaid agency. Independent, external quality reviews are performed annually by:

☒ A Utilization and Quality Control Peer Review Organization designated under 42 CFR Part 462 that has a contract with the agency to perform those reviews.

☐ A private accreditation body.

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